

# Jon K. Jurva

PARTNER

Jon K. Jurva concentrates his practice in corporate and securities matters. He focuses his practice on representing foundations, public pension funds, endowments, funds of funds and other institutional investors in making and exiting real estate, venture capital, private equity, and hedge fund investments.



#### **Industries**

Beverage & Food Nonprofits & Associations Private Companies Venture Capital & Emerging Businesses

#### **Practices**

Corporate & Securities

- Fund Formation
- Institutional Investors
- Private Capital & Investment Funds
- Private Equity
- International Transactions
- US Market Entry and International Transactions

#### International

Finland

#### **Education**

University of Michigan Law School, JD, 1999 Fulbright Scholar - Brazil, 1994 University of Michigan, AB, with distinction, 1991 Offices Phone Email
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In addition, Jon represents general partners in the formation and operation of a variety of private investment funds and funds of funds. During his work with general partners and limited partners, he has advised clients on numerous matters relating to the operation of private investment funds, including key person events, management transitions and other significant events. Jon calls on his experience in representing both general partners and limited partners to help clients craft workable solutions to resolve such matters.

He also regularly advises private and public companies on joint ventures, restructurings, mergers, acquisitions, and dispositions.

Clients appreciate Jon's reasoned and pragmatic approach to guiding transactions to closing while closely protecting their interests.

#### **Client Work**

Jon's representative transactions include:

- Advised San Francisco-based manager with respect to the formation of insurance fund.
- Advised managers on formation of credit funds.
- Advised Washington DC-based investment manager with respect to the formation of real estate fund.
- Advised family offices with respect to the formation of real estate fund and secondary fund.
- Representation of a Minneapolis-based investment advisor in the formation of its third fund, which raised \$558 million from investors that included domestic and international pension funds, insurance companies, endowments, foundations, consultants, and family offices.
- Advised Finnish company in connection with US-based joint venture.
- Continuing representation of a Chicago-based investment manager with respect to the formation

- of private equity funds of funds, including negotiations with a wide variety of public pension funds, and the ongoing operation of those funds of funds.
- Advised a nonprofit on acquisition of assets out of a bankruptcy of a private college.
- Representation of investment manager in sale of stakes in related general partner and management company.
- Representation of institutional investors in connection with removal of a general partner of a private equity fund.
- Representation of institutional investors in secondary transactions.
- Representation of institutional investors in co-investment transactions.
- Representation of investment professionals in the formation of a long-short equity hedge fund.
- Representation of prominent institutional investors as limited partners in numerous domestic and international private equity, infrastructure, growth equity, venture capital, real estate, and hedge fund investments.
- Representation of an investment manager in connection with registering with the SEC as an investment adviser.
- Representation of an investment adviser during an SEC compliance inspection and examination.
- Representation of a Washington, DC-based investment manager in the formation of a hedge fund.
- Representation of a public company in the distribution industry with respect to a series of acquisitions of competitors throughout the United States.

## **Publications, Presentations & Recognitions**

#### **Publications**

- "SEC Charges Navy Capital in AML Failures: Say What You Do and Do What you Say," ArentFox Schiff Alert (Jan. 28, 2025)
- "SEC Priorities for 2025: What Investment Advisers Should Know," ArentFox Schiff Alert (Jan. 13, 2025)
- "FinCEN Releases Final Anti-Money Laundering Rule for Investment Advisers," ArentFox Schiff Alert (Nov. 1, 2024)
- "Newly Proposed Rule Expanding Cyber Incident Reporting to Affect Financial Services Companies," ArentFox Schiff Alert (Jun. 27, 2024)
- "New SEC Guidance on Marketing Rule: Ensuring Compliance in Advertisement and Marketing Material for Investment Advisors 2024," ArentFox Schiff Alert (Jun. 14, 2024)
- "SEC and FinCEN Propose New Rule: Mandatory Customer Verification for Investment Advisers in 2024," ArentFox Schiff Alert (Jun. 12, 2024)
- "Fifth Circuit Vacates SEC Private Fund Advisers Rule," ArentFox Schiff Alert (Jun. 10, 2024)
- "Four Months Out: Private Fund Advisors Prepare for September Compliance Deadline Amidst Challenge in the Fifth Circuit," ArentFox Schiff Alert (May 16, 2024)
- "Investment Advisers To Be Subject to Strengthened AML Regulations Under FinCEN's Proposed Rule," ArentFox Schiff Alert (Mar. 6, 2024)
- "SEC Expands Regulatory Oversight of Private Fund Advisers Amendments to Form PF," ArentFox Schiff Alert (Feb. 22, 2024)
- "2024 Examination Priorities for Investment Advisers from SEC Division of Examinations," ArentFox Schiff Alert (Oct. 25, 2023)
- "California Introduces New Diversity Disclosure Obligations for Venture Capital Companies," ArentFox Schiff Alert (Oct. 17, 2023)
- "Significant New Private Fund Rules Adopted by SEC," ArentFox Schiff Alert (Sep. 11, 2023)
- "2023 Priorities for SEC Division of Examinations," ArentFox Schiff Alert (Feb. 15, 2023)

- "SEC Adopts New Marketing Rule for Investment Advisors," *ArentFox Schiff Alert* (Nov. 7, 2022)
- "SEC Proposes New Oversight Requirements for Certain Services Outsourced by Investment Advisors," ArentFox Schiff Alert (Nov. 3, 2022)
- "SEC CFTC Joint Proposed Amendments Introduce Enhanced Private Fund Reporting Requirements," ArentFox Schiff Alert (Aug. 19, 2022)
- "First-of-a-Kind Crypto Insider Trading Prosecution: SEC-v-Wahi et al Action May Have Broad Implications Across the Digital Asset Space," ArentFox Schiff Alert (Aug. 9, 2022)
- "Scrapped Paper: SEC Adopts New Electronic Filing Requirements for Investment Advisers," *ArentFox Schiff Alert* (Jul. 6, 2022)
- "SEC Charges Private Equity Adviser for Undisclosed Disproportionate Allocation of Transaction Expenses to Advised Fund," ArentFox Schiff Alert (Jun. 22, 2022)
- "SEC Proposes Rule Updates Intended to Prevent Misleading or Deceptive Fund Names,"
   ArentFox Schiff Alert (Jun. 16, 2022)
- "SEC Proposes New Disclosure and Reporting Requirements Concerning ESG for Advisors and Registered Investment Funds," ArentFox Schiff Alert (Jun. 7, 2022)

#### **Presentations**

- "Negotiating Fund Agreements," 18th Annual Emerging Manager & MWBE Conference, Office of the New York State Comptroller, Conference (Feb. 14, 2025)
- "US Securities Laws: Consideration for Family Offices," ArentFox Schiff Family Office University, Conference (Nov. 13, 2024)
- "Getting off to a Great Start: Commonly Negotiated PE Fund Terms," New America Alliance Chicago Roadshow (Oct. 29, 2024)
- "Coming to America," Bridging4Growth, Delegation U.S.A, Chicago (Oct. 16, 2024)
- "Approved for Investment, What's Next?" 17th Annual Emerging Manager & MWBE Conference, Office of the New York State Comptroller, Conference (Feb. 16, 2024)
- "16th Annual Emerging Manager & MWBE Conference," Office of the New York State Comptroller, Albany, NY (Feb. 17, 2023)
- "Nordics Navigating New Norms & Numbers," Nordic Consulates in Chicago, Webinar (Mar. 19, 2021)
- "Entering the U.S. Market: A Legal Overview for Swedish Companies," Schiff Hardin, Webinar (Sep. 28-29, 2020)
- "Getting off to a Great Start: Commonly Negotiated PE Fund Terms," Chicago Private Equity Forum, Chicago, IL (May 4, 2016)
- "Commonly Negotiated Private Equity Fund Terms," (presenter), Muller & Monroe Asset Management's Back Office Exchange, Chicago, IL (Oct. 11, 2014)
- "Institutional Limited Partners Association Private Equity Principles: A Guide for Improving GP/LP Relations," (co-presenter) Muller & Monroe Asset Management's Back Office Exchange, Chicago, IL (Oct. 14, 2011)
- "Dodd-Frank's Impact on Investment Adviser Registration: What Does It Mean for You?," (co-presenter), Schiff Hardin LLP Webinar (Apr. 13, 2011)
- "Dodd-Frank's Impact on Private Equity Funds and Other Recent Legal Developments," Muller & Monroe Asset Management's Back Office Exchange, Chicago, IL (Nov. 2, 2010)
- "Placement Agents Panel Discussion," (panelist) Muller & Monroe Asset Management's Back Office Exchange, Chicago, IL (Oct. 2, 2009)
- "Alternative Investments in the Aftermath of the Credit Crisis," American College of Investment Counsel, 2009 Annual Spring Investment Forum, Chicago, IL (Apr. 23, 2009)

#### Recognitions

— Illinois Leading Lawyer, Law Bulletin's *Illinois Leading Lawyers Network* (2017-2021)

# **Boards, Memberships & Certifications**

- Maryland OAG Law Firm Advisory Council Member
- American Bar Association, Institutional Investors Committee
- Finland's Honorary Consul in Chicago (jurisdiction includes Illinois and Wisconsin)
- Finlandia Foundation National Board of Directors
- Midwest Chapter of the Finnish American Chamber of Commerce, Board of Directors
- Foundation Board of Lutheran Child and Family Services of Illinois, Board of Directors

### **Professional Activities**

Jon has devoted hundreds of hours to pro bono advocacy. He recently represented Horizon Hospice & Palliative Care, Inc. in its merger with JourneyCare, Inc. and Midwest Palliative & Hospice CareCenter. He earlier represented Lake Forest Symphony Association, Inc. in a transaction with Music Institute of Chicago.

#### **Bar Admissions**

Illinois